

Kathryn (Kay) Ann Allen, CFP
dba Allen Wealth Advisors, LLC
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Dated March 1st, 2020



Purpose of the Brochure Supplement:

This brochure supplement provides information about our personnel listed above that supplements the Trinity Portfolio Advisors, LLC (TPA) brochure. You should have received a copy of that brochure. Please contact Jeri Liedl at (817) 416-7227 if you did not receive Trinity Portfolio Advisor's brochure or if you have any questions about the contents of this supplement.

Additional information about our personnel is available on the SEC's website at www.adviserinfo.sec.gov.

Disclaimer:

While Trinity Portfolio Advisors, LLC, may refer to itself as a "registered investment advisor" or "RIA", clients should be aware that registration does not imply a certain level of skill or training.

PART 2B OF FORM ADV: BROCHURE SUPPLEMENT



Kathryn Ann Allen

Born: 1960

Investment Advisor Representative

Educational Background and Business Experience

Post-Secondary Education:

- Kansas State University; 1982 BS – Business Finance

Recent Business Experience:

- | | | |
|--|--------------------|---------------------|
| • Trinity Portfolio Advisors, LLC Representative | 12/2018 to present | Investment Advisor |
| • Boulevard Animal Hospital | 01/2003 to Present | Benefits Officer |
| • Helen Stephens Group, LLC dba Aspen Wealth Mgmt Representative | 11/2011 to 12/2018 | Investment Advisor |
| • Cetera Advisors, LLC | 01/2013 to 11/2013 | Reg. Rep/Advisor |
| • Thrivent Investment Mgmt, Inc. | 01/2012 to 10/2012 | Reg. Rep |
| • Thrivent Investment Mgmt Inc. | 03/2012 to 10/2012 | Investment Advisor |
| • Thrivent Financial for Lutherans | 05/2012 to 10/2012 | Insurance Agent |
| • Thrivent Financial for Lutherans | 06/2011 to 05/2012 | Office Professional |
| • Bank Consultant | 01/1995 to 12/2012 | Consultant |

Disciplinary Information

Kathryn (Kay) Ann Allen has no reportable disciplinary history.

Other Business Activities

Kathryn (Kay) Ann Allen is engaged in a non-investment related business outside of her role with Trinity Portfolio Advisors, LLC. Mrs. Allen oversees employee benefits at Boulevard Animal Hospital, in her individual capacity, less than 2 hours per month during non-trading hours.

Additional Compensation

Kathryn (Kay) Ann Allen does not receive any economic benefit from a non-advisory client for the provision of advisory services. All client advisory service fees are paid directly to Trinity Portfolio Advisors, LLC.

Supervision

Supervisor: John A. Chalk, Jr.

Title: Chief Compliance Officer

Phone Number: (817) 416-7227

In addition to an annual in-person review of our firm's policies and procedures, each advisor is subject to the following ongoing supervision and review:

- Daily trade reviews
- Quarterly review of personal securities accounts
- Annual review of bank statements
- Monthly correspondence reviews, including ongoing capture and review of email
- Periodic reviews of client account activity